



Stratfield Mortimer Parish Council Record Retention Policy

Purpose

- 1 This is the Record Retention Policy of Stratfield Mortimer Parish Council and adopts the definitions in the Council's Policy Guidance and Glossary.
- 2 The Council requires a wide variety of records for transacting its business and is committed to retaining such records in a format, and for such periods of time, that enable it to (at least) meet its statutory obligations in respect of records.
- 3 In addition, this Policy seeks to:
 - ensure the security of records;
 - protect personal details and confidential data;
 - facilitate legitimate access to information;
 - optimise the use of storage space;
 - manage the associated costs of record retention; and
 - facilitate the destruction of redundant records.
- 4 The Appendix sets out the minimum retention periods for different records.

Scope

- 5 This Policy applies to the Council's records - ~~paper~~ physical and electronic.
- 6 Electronic records will be subject to the same rules of retention and security as ~~physical~~~~paper~~ records unless otherwise stated.
- 7 Copies of Council records held by Members are not subject to a minimum retention period but must be destroyed when either no longer required, or at the end of the Member's term of office, and in accordance with the Disposal Part below.
- 8 The Clerk is responsible for the implementation of the Policy.
- 9 Records subject to a statutory period of retention are identified by their associated legislation in the Appendix.

Storage

- 10 Records that are required to be retained in a physical format (contracts, leases, deeds, etc) shall be copied to an electronic format before being stored in accordance with the Appendix.
- 11 Other physical records may be copied to an electronic format and then destroyed if that is the most convenient way of storing them.

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Security

~~10~~12 ~~Hard copy~~Physical records containing personal and/or sensitive information will be kept in lockable storage.

~~11~~13 Electronic records shall be stored on media which is password protected. The rules relating to passwords set out in the System Security Part of the Council's Financial Regulations shall be applicable to passwords relating to electronic records held in accordance with this Policy.

Disposal

~~12~~14 Redundant records may be destroyed in order to reduce the cost of storage, indexing and handling.

~~13~~15 ~~Hard copies of~~Physical records containing personal and/or confidential information will be cross shredded and disposed of as confidential waste.

~~14~~16 Other physical ~~documents~~records will be recycled as appropriate.

~~15~~17 Electronic records containing personal and confidential information will be securely deleted.

~~16~~18 Prior to disposing of computer hardware, memories and ~~data discs~~storage will be fully wiped.

Appendix

Category	Record	Minimum Retention Period	Processing Purpose
ADMINISTRATION	Annual Parish Award	Three years	Management
	Complaints: No resulting policy change Resulting in a policy change	Three years from last action Five years from last action	Legal obligation
	Consultation Results	Five years from exercise	Consent
	Co-option applications and results	6 months from date of co-option	Management
	Correspondence & emails – general	Until no longer required	Management
	Correspondence & emails – other	At least six years, then until no longer required	Limitation Act 1980 / Management
	Councillor email accounts	One year following end of term of office	Management
	Historical Information	Indefinite	Historical purposes
	Information from other bodies	Until no longer required	Management
	Newsletters	Indefinite	Interest
	Parish Plans/NDP – final copy	Indefinite	Management & historical purposes
	Planning	Until no longer required	Information is held by Planning Authority
	Policies /Procedures	Until revised copy is available	Management & Audit
	Register of Electors	Until no longer required	Management
	Risk Assessments	Until reviewed and updated	Management

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	Speed Watch Data	As soon as uploaded to the Community SpeedWatch portal	Management
AUDIT AND FINANCE	Asset Register	Indefinite	Management & Audit
	Bank Paying in Book	Last completed audit year	Audit
	Bank Statements	Last completed audit year	Audit
	Cheque Book Stubbs	Last completed audit year	Audit
	Hire Forms	Six years	Audit/VAT
	Internal/External Audit Report and Returns	Indefinite	Legal
	Lettings diaries	Six years	Audit/VAT
	Paid invoices	Six years from when the relevant accounts are submitted.	Audit/VAT
	Receipt and Payment Accounts	Indefinite	
	Scale of fees/charges	Six years from when the relevant accounts are submitted.	Audit/VAT
	VAT Records	Six years from when the relevant accounts are submitted, but 20 years for VAT on rents	Audit/VAT
BURIAL GROUNDS	Register of: Burials Purchased Graves Register/plan of grave spaces Memorials Applications for internment	Indefinite	Archives, Local Authorities Cemeteries Order 1977

	Applications for right to erect memorials Disposal certificates Copy certificates of grant of exclusive right of burial		
COMMITTEES	Agendas	Once finished with	Management—no current obligation
	Meeting Papers	Once finished with Six years/until no longer needed	Management
	Meeting Recordings	Until minutes have been approved	No current obligation
	Minutes—approved	Indefinite Six years	Statutory No current obligation
	Minutes—draft	Until minutes have been approved	Management
PERSONNEL	Recruitment records for unsuccessful candidates	Six months after candidate has been notified	Equality Act 2010
	Staff employment records	Duration of Employment plus seven years	Contract
	Staff leave and absence	Two years after action completed	Contract and management
	Staff pension records	Six years from date of last pension payment	Legal/Audit
	Staff payroll records	Six years from when the relevant accounts are submitted	Tax/Audit
	Time sheets	Three years	Audit Personal Injury Limitation Act 1980

PURCHASE MANAGEMENT	Contracts	Six years after contract expired 12 years for contracts under seal	Limitation Act 1980
	Quotations and tenders	Successful: six years after contract expired Unsuccessful: one year	Limitation Act 1980/ Management
STATUTORY and LEGAL	Accident/Incident Report	21 years	Management
	CCTV	30 days unless retained in accordance with CCTV Policy (eg relating to investigation)	Data Protection Act 2018
	Certificate of Employers Liability	40 years from date on which insurance commenced or was renewed	Employers' Liability (Compulsory Insurance) Regulations 1998
	Declaration of Term of Office	Six years	Legal — Members are required to sign
	Deeds	Indefinite	Audit and management
	Freedom of Information Requests	Three years	Legal
	Insurance policies but see below	Seven years after the term of the policy has expired	Legal
	Insurance policy numbers and company names	Indefinite	Management

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	Insurance-claim	Seven years after all obligations/entitlements are concluded (allowing for the claimant to reach 25 years of age)	Legal
	Leases	12 years	Limitation Act 1980
	Risk Assessments	Whilst valid	Insurance
	Register of Members Interest	Six years	Legal
	Playground equipment inspection reports	Duration of life of equipment plus six years	Limitation Act 1980
Category	Record	Minimum Retention Period	Processing Purpose
ADMINISTRATION	Annual Parish Award	Three years	Management
	Applications to Council	Six months from date of application	Management
	Complaints: No resulting policy change Resulting in a policy change	Three years from last action Five years from last action	Legal obligation
	Consultation Results	Five years from exercise	Consent
	Correspondence & emails - general	Until no longer required	Management
	Correspondence & emails - other	At least six years, then until no longer required	Limitation Act 1980 / Management
	Councillor email accounts	One year following end of term of office	Management
	Historical Information	Indefinite	Historical purposes
	Information from other bodies	Until no longer required	Management
	Newsletters	Indefinite	Interest

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	<u>Parish Plans/NDP – final copy</u>	<u>Indefinite</u>	<u>Management & historical purposes</u>
	<u>Planning documents</u>	<u>Until no longer required</u>	<u>Information is held by Planning Authority</u>
	<u>Policies/Procedures</u>	<u>Until revised copy is available</u>	<u>Management & Audit</u>
	<u>Register of Electors</u>	<u>Until no longer required</u>	<u>Management</u>
	<u>Risk Assessments</u>	<u>Until reviewed and updated</u>	<u>Management</u>
	<u>Speed Watch Data</u>	<u>As soon as uploaded to the Community SpeedWatch portal</u>	<u>Management</u>
<u>AUDIT AND FINANCE</u>	<u>Asset Register</u>	<u>Indefinite</u>	<u>Management & Audit</u>
	<u>Bank Paying-in Book</u>	<u>Last completed audit year</u>	<u>Audit</u>
	<u>Bank Statements</u>	<u>Last completed audit year</u>	<u>Audit</u>
	<u>Cheque Book Stubbs</u>	<u>Last completed audit year</u>	<u>Audit</u>
	<u>Hire Forms</u>	<u>Six years</u>	<u>Audit/VAT</u>
	<u>Internal/External Audit Report and Returns</u>	<u>Indefinite</u>	<u>Legal</u>
	<u>Lettings diaries</u>	<u>Six years</u>	<u>Audit/VAT</u>
	<u>Paid invoices</u>	<u>Six years from when the relevant accounts are submitted.</u>	<u>Audit/VAT</u>
	<u>Receipt and Payment Accounts</u>	<u>Indefinite</u>	
	<u>Scale of fees/charges</u>	<u>Six years from when the relevant accounts are submitted.</u>	<u>Audit/VAT</u>
	<u>VAT Records</u>	<u>Six years from when the relevant accounts are submitted, but 20 years for VAT on rents</u>	<u>Audit/VAT</u>

<u>BURIAL GROUNDS</u>	<u>Register of:</u> <u>Burials</u> <u>Purchased Graves</u> <u>Register/plan of grave spaces</u> <u>Memorials</u> <u>Applications for internment</u> <u>Applications for right to erect memorials</u> <u>Disposal certificates</u> <u>Copy certificates of grant of exclusive right of burial</u>	<u>Indefinite</u>	<u>Archives, Local Authorities</u> <u>Cemeteries Order 1977</u>
<u>COMMITTEES</u>	<u>Agendas</u>	<u>Once finished with</u>	<u>Management – no current obligation</u>
	<u>Meeting Papers</u>	<u>Once finished with</u> <u>Six years/until no longer needed</u>	<u>Management</u>
	<u>Meeting Recordings</u>	<u>Until minutes have been approved</u>	<u>No current obligation</u>
	<u>Minutes - approved</u>	<u>Indefinite</u> <u>Six years</u>	<u>Statutory</u> <u>No current obligation</u>
	<u>Minutes - draft</u>	<u>Until minutes have been approved</u>	<u>Management</u>
<u>PERSONNEL</u>	<u>Recruitment records for unsuccessful candidates</u>	<u>Six months after candidate has been notified</u>	<u>Equality Act 2010</u>
	<u>Staff employment records</u>	<u>Duration of Employment plus seven years</u>	<u>Contract</u>
	<u>Staff leave and absence</u>	<u>Two years after action completed</u>	<u>Contract and management</u>
	<u>Staff pension records</u>	<u>Six years from date of last pension payment</u>	<u>Legal/Audit</u>
	<u>Staff payroll records</u>	<u>Six years from when the relevant accounts are submitted</u>	<u>Tax/Audit</u>
	<u>Time sheets</u>	<u>Three years</u>	<u>Audit</u> <u>Personal Injury Limitation Act 1980</u>
<u>PURCHASE MANAGEMENT</u>	<u>Contracts</u>	<u>Six years after contract expired</u>	<u>Limitation Act 1980</u>

		<u>12 years for contracts under seal</u>	
	<u>Quotations and tenders</u>	<u>Successful: six years after contract expired</u> <u>Unsuccessful: one year</u>	<u>Limitation Act 1980 / Management</u>
<u>STATUTORY and LEGAL</u>	<u>Accident/Incident Report</u>	<u>21 years</u>	<u>Management</u>
	<u>CCTV</u>	<u>30 days unless retained in accordance with CCTV Policy (eg relating to investigation)</u>	<u>Data Protection Act 2018</u>
	<u>Certificate of Employers Liability</u>	<u>40 years from date on which insurance commenced or was renewed</u>	<u>Employers' Liability (Compulsory Insurance) Regulations 1998</u>
	<u>Declaration of Term of Office</u>	<u>Six years</u>	<u>Legal – Members are required to sign</u>
	<u>Deeds</u>	<u>Indefinite</u>	<u>Audit and management</u>
	<u>Freedom of Information Requests</u>	<u>Three years</u>	<u>Legal</u>
	<u>Insurance policies but see below</u>	<u>Seven years after the term of the policy has expired</u>	<u>Legal</u>
	<u>Insurance policy numbers and company names</u>	<u>Indefinite</u>	<u>Management</u>
	<u>Insurance claim</u>	<u>Seven years after all obligations/entitlements are concluded (allowing for the claimant to reach 25 years of age)</u>	<u>Legal</u>
	<u>Leases</u>	<u>12 years</u>	<u>Limitation Act 1980</u>
	<u>Risk Assessments</u>	<u>Whilst valid</u>	<u>Insurance</u>
	<u>Register of Members Interest</u>	<u>Six years</u>	<u>Legal</u>
	<u>Playground equipment inspection reports</u>	<u>Duration of life of equipment plus six years</u>	<u>Limitation Act 1980</u>

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